# UNITED STATES PATENT APPLICATION

of

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for

## HIGH DENSITY ELECTRONIC INTERCONNECTION

This application claims the benefit of Provisional Application Number 60/170,975 filed December 15, 1999, and also of Provisional Application Number 60/170,976 filed December 15, 1999.

#### Field of the Invention

The invention is related to electronic interconnections and methods of forming bumped patterns for these interconnections.

# Background of the Invention

Ball grid arrays are made by coating a pad grid on the chip package with high temperature solder, (95% Pb/5% Sn). A glass template is provided with a hole grid corresponding to the pad grid. The holes are filled with copper balls coated with high temperature solder, and the high temperature solder is reflowed to join the balls to the pad. Subsequently, the ball grid package is attached to the next level assembly by a lower temperature solder, e.g. 60% Sn/40% Pb. Ball grid arrays require careful and precise control of soldering temperatures. Replacement or repair of packages having ball grid arrays also requires temperature control for package removal. Many hermetic packages have covers that are bonded

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to the package by sealing glass. The covers are sealed with sealing glasses at 360-450°C. Ball grid arrays for such packages cannot be made in advance, but must be added as the last step in making the package.

Micro-connection systems have been proposed for testing to produce "known-good-die"

One proposed micro-connection system has microbumps on a copper clad polyimide substrate which are to be temporarily pressed against the die for testing purposes. A silicone rubber sheet backing the micro bumped polyimide surface transmits the contact pressure to the microbumps. These proposed microbumps are not suitable for permanent connections, or for hermetically sealed packages.

The Controlled Collapse Chip Connection (C4) is a method of flip chip mounting of semiconductor chips. In the C4 process, solder bumps are formed on a semiconductor chip. The solder bumps are used to connect the chip to its package, such as a single chip module (SCM) or multichip module (MCM). In the C4 process, first a glass passivation layer is formed on the chip with vias in the layer for the input/output contacts, I/Os. After DC sputter cleaning of the via holes, a thin circular pad of chromium is evaporated through a mask. The chromium pad covers the via and forms a ring around the via over the passivation layer sealing the via. The DC sputter cleaning assures low contact resistance to the aluminum I/O pad of the chip and good adhesion to the passivation layer. Next a phased chromium and copper layer is evaporated to provide resistance to multiple reflows in the subsequent processing. This is followed by a pure copper layer to form a solderable metal. A thin layer of gold is added as an oxidation protection layer for the copper. A thick deposit (100-125 µm) of high melting solder (97-95% Pb/3-5% Sn) is evaporated through a mask onto the chip and then heated to about 365 °C in a hydrogen

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atmosphere to fuse the solder into truncated spheres adhering to the pads. These solder bumps are fused to gold plated or solder coated pads on the interior surface of the chip package. The solder joints in the C4 design must be high enough to compensate for substrate non-planarity. Also because solder surface tension holds up the chip, a sufficient number of pads is required to support the weight of the chip. This is a concern with bulky, low I/O devices such as memory chips or chip carriers, where multiple dummy pads must be added to support the chip. For this reason, among others, the C4 process has been used for connecting semiconductor chips to a first level package, but has not been successful or widely used for connecting a package, which is substantially heavier than a chip to a higher level assembly.

### Summary of the Invention

The invention comprises a novel method of forming bumped substrates by forming the bumps and fusing them to the substrate simultaneously in one operation.

The present invention comprises a method of manufacturing an electronic interconnection means for interconnecting one or more conductors on one surface to one or more conductors on another surface. The interconnection means comprises convex metal bumps melted onto the conductors on the first surface, and capable of being bonded to the conductors on the second surface. The bumps being comprised of a metal that does not melt below 350°C, and is strong enough to hold the two surfaces a fixed distance apart.

In one embodiment the present invention comprises an improved method for manufacturing an electronic package having solderable metal bumps as a connecting means to another electronic package or a higher level assembly. The improvement comprises providing an insulating substrate having metallic pads on the base of the package; depositing a metal on

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the substrate over the metallic pads, the metal having a melting point over 350 °C and below the melting point of the metal forming the metallic pads; melting the metal so that it draws back onto the metallic pads, and forms metal bumps on the metallic pads.

In another embodiment, the invention comprises a method for manufacturing bumped conductors for electrically connecting one or more conductors on a first surface to one or more conductors on a second surface by providing contact areas in the conductive pattern on the first surface that are wettable by a molten metal. Then depositing the metal over the contact areas, and raising the temperature of the first surface above the melting point of the deposited metal. The metal melts, and the molten metal forms bumps on the contact areas. The bumps being comprised of a metal having a melting point over 350°C, and the bumps formed being capable of being bonded to the conductors on the second surface

A further embodiment of the invention is a method of making electrical connections to electro mechanical devices by means of metal bumps on the conductive pattern of a ceramic substrate. The bumps both support the device and electrically connect it.

An additional embodiment of the invention is an connector to interconnect two or more electronic packages or assemblies. The connector comprises a planar, high temperature, insulating substrate with an interconnecting conductive pattern. The conductive pattern terminates in metal bumps capable of metallurgically bonding to contact pads of another assembly.

#### Description of the Drawings

Fig. 1 is a cross section view of a chip-scale package according to the invention.

Fig. 2 is a cross section view of a flip chip package according to the invention.

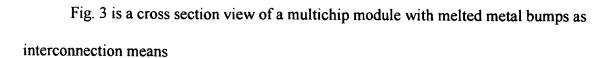


Fig. 4 is a plan view of a ceramic substrate having 256 grid arrays of the metal bumps of the invention.

Fig. 5 is a plan view of a single grid array from Fig. 4.

Fig. 6 is a side view of a connector interconnecting two adjacent packages.

Fig. 7 is a side view of a second grid array metal bumped connector.

### Description of the Invention

The interconnections of the present invention are by means of metal bumps on a high temperature insulating substrate. The bumps are formed by melting metals onto the contact pads on the substrate.

In the methods of this invention the conductive pattern of a substrate or base is provided with contact pads where the metal forming the bumps can be adhered when the metal is molten, and a background surface of the substrate where the molten metal is non-adherent. The contact pads can be metal pads or metallic sites capable of being wetted by the molten metal on a non-wettable background. The backgrounds include non-wettable metallic surfaces such as chrome or chrome alloys having a thin, non-wettable oxide layer, and non-wettable insulating surfaces and combinations of non-wettable surface background materials. Wettable areas are areas on the substrate surface where the molten metal adsorbs.

The bumps are formed by applying metal to areas of the substrate and melting the metal to form the bumps. The metal can be applied or deposited on the substrate by any suitable means such as plating, vacuum deposition, sputtering and the like, or as metal particles or

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powders, wires, films or foils. The metal is applied to the contact pads and may also be applied to contiguous background areas. The substrate is then heated to a temperature above the melting point of the metal and the surface tension of the molten metal draws it back from the contiguous background area forming a bump on the contact pad. The height of the bump depends on the volume of metal applied on the contact pad and also on the contiguous background area.

Preferably the metal that is applied on each pad and the contiguous background area associated with it, is separated from neighboring areas and their contiguous metal deposits.

If the background surface is smooth, firm and non-wettable, the surface tension of the molten metal will draw back any metal applied to the contiguous area onto the contact pad. The surface tension of the molten metal may not be sufficient to draw all the metal from the contiguous areas if the contiguous background is rough, textured, or if the surface of the background softens at the temperature of the molten metal. In such cases it is advisable to apply all of the metal required to form the protuberance directly on the contact area with little or no overlap of the contiguous background area.

In one embodiment, the invention is a method of forming metal bumps on an electronic interconnecting substrate, the bumps being suitable for connecting to another electronic assembly. The bumps are formed by applying metal particles, films or foils to metallic pads on the substrate and melting the metal particles, film or foils to form the bumps on the metallic pads.

The invention also provides packages with bumped arrays for forming metallurgical bonds to another assembly. The packages are capable of being hermetically sealed.

A characteristic of the metal forming the bumps is a melting point above the temperature

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at which the package will be joined to another package or to another assembly. The conductors on the surface having the melted metal bumps are joined to the conductors on the second surface by metallurgically or adhesively bonding the bumps to the contact pads on the second surface. The metallurgical bonds can be formed by brazing, soldering, welding or the like. Welding techniques commonly used in the electronics industry include thermocompression bonding, ultrasonic bonding and thermal ultrasonic bonding. Soldering is the standard procedure by which electronic component packages are joined to other assemblies, such as ceramic circuits or laminated glass reinforced epoxy printed wiring boards. The soldering takes place at temperatures between 220 °C (425°F) and 290 °C (550°F), so the melting point of the metals forming the bumps should be over 350 °C (650 °F). The melting point of the metal forming the bumps must be below the melting point of the metal forming the metallic pads.

The bumps must be formed of a metal that has sufficient strength and rigidity to support the surface and prevent collapse when joining it to another surface or another assembly. The bumps should be high enough to compensate for non-planarity of the surfaces being joined, and strong enough to keep the surfaces apart to prevent short circuits, and to permit cleaning between the two surfaces. Preferably the bumps should support the package without addition of dummy bumps. The metal that is melted and melted to a substrate to form the bumps must adhere well to the metallic pads of the substrate.

Techniques for joining the bumped substrate to contact pads on another surface include adhesive and metallurgical bonding techniques. Adhesive bonding uses conductive organic materials and includes metal filled resins such as conductive epoxies, acrylics and polyimides. Metallurgical bonding techniques include welding, brazing, soldering, and the like. Welding

techniques commonly used in the electronics industry include thermocompression bonding, ultrasonic bonding and thermal ultrasonic bonding. When the bumped substrate is to be joined to contact pads on another surface by thermocompression, ultrasonic or thermal ultrasonic techniques, the metal of the bumps may be gold or aluminum.

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When the bumped substrate is to be joined to the contact pads on another surface by soldering, an important characteristic of the bumps is limited solubility in solder. If the metal dissolves in solder, the bumps may collapse. Also at soldering temperatures the bumps should not dissolve significantly in solder so as to weaken and/or embrittle the solder joints. If the bumps are formed of a metal that may be dissolved in solder, the bumps should be coated with a barrier layer such as nickel.

The bumps are formed of metals and alloys with melting points above 350°C. Preferred metals are copper and copper alloys such as copper/nickel, beryllium/copper, brasses and bronzes. Nickel and nickel alloys such as nickel/phosphorus alloys also may be used. Silver and silver alloys such as copper/silver, palladium/silver and gold and gold alloys such as gold/germanium and gold/silver platinum/gold alloys may be used. A barrier metal such as nickel or palladium may be used to reduce the solubility of the bumps in solder or prevent migration of the bump metal into the solder. To enhance the solderability of bumps coated with nickel or other barrier metal, a solder aid such as a thin layer of gold, tin or a flux may be applied to the barrier metal.

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The substrate is preferably formed from a high temperature insulating material. Any insulating material may be used that will withstand the process of fusing the metal and forming the bumps on the substrate. Especially suitable high temperature insulating materials are

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ceramic and glass/ceramic compositions and silicon. Preferred materials comprise aluminum oxide, aluminum nitride, diamond, beryllium oxide, boron nitride, cordierite, mullite, silicon carbide silicon nitride and glass/ceramics.

The metallic pads are formed on the high temperature insulating material by any suitable means. On ceramic materials, thick film, thin film, cofired ceramic circuit or copper direct bond metallization techniques may be used. The metallic pads are composed of metals with melting points above the melting point of the bumps, and that will not melt, dissolve or lose adhesion to the insulating substrate when the metals forming the bumps are melted and fused to the pads. The metals for the metallic pads are selected from the group consisting of the metals of Groups 8 and 1b of the Periodic Table of Elements and the refractory metals such as chromium, molybdenum, tungsten and titanium. Preferred metals for the metallic pads are formed from thick film copper pastes, gold pastes, palladium/silver pastes and platinum/silver pastes. More preferred metals include tungsten, titanium-tungsten, chromium, molybdenum and nickel, and most preferred are combinations of molybdenum and manganese. A barrier material on the metallic pad, such as nickel or palladium may be used to limit the solubility of the metal of the bump into the metal comprising the metallic pad.

If the high temperature insulating material is used for an electronic package that will contain a semiconductor die, it may have electrical connections from the die to either metallic pads on its bottom or metallic pads on the same side as the die. The die may be connected to the package by wire bonds, or by a flip chip bonding. The connections to the bottom of the package may be through the substrate of the package as metallic vias when the package is a cofired multilayer ceramic, or by metal plugged vias in the substrate of the package. The connections

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also may be accomplished by edge metallization.

The metal or metal alloy that is melted onto the metallic pads may be applied to the substrate as a metal powder, by printing metal pastes, by evaporating metal onto the substrate, by applying a metal foil to the substrate, or other means. After the metal is applied to the substrate, it is heated to a temperature above its melting point. When the metal melts the surface tension of the molten metal causes the metal to draw back and ball up on the metallic pads.

Metal pastes applied using thick film screen printing techniques are one method of applying metal powder onto the metallic pads of the substrate.. The pastes are formulated with metal powders dispersed in organic vehicles. E.g., a metal paste is prepared by dispersing 50-90% by weight metal powder in an organic resin/solvent vehicle. The metal paste is printed over each of the metallic pads on the substrate. The paste is dried and then the temperature ramped up to destroy the organic vehicle, leaving only the powder. The temperature is then raised above the melting point of the powdered metal, and the part is fired in a vacuum or an inert or reducing atmosphere. The metal melts and draws back to the metallic pads forming rounded metal bumps.

In one embodiment, the metallic pads on the high temperature insulating substrate are covered by an organic adhesive and metal particles are applied to the adhesive. The adhesive is formulated so that it will decompose completely in the firing process. After the metal particles are applied, the substrate is heated above the melting point of the metal, so that the surface tension of the molten metal causes the metal to draw back and form bumps on the metallic pads.

The metals used to form the bumps may be applied to an insulating substrate by electroplating. The metallic pads may be electroplated by connecting them to the cathode of an

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electroplating cell. In another electroplating method, a layer of electroless metal is formed on a ceramic substrate including the metallic pads, and built up to a required thickness by electroplating, e.g., copper. An etch resist is applied over the electroplated metal, and the metal is etched to create an area of metal in contact with each metallic pads on the substrate. After the etch resist is removed the metal is heated to a temperature above the its melting point. When the metal melts the surface tension of the molten metal causes the metal to draw back, ball up on and fuse to the metallic pads.

In an alternative procedure, a plating resist is applied to the electroless metal layer described above, leaving exposed metal over each of the metallic pads. Copper is electroplated on the exposed areas. After the plating resist is removed, the underlying layer of electroless metal separating the electroplated areas optionally may be removed by a quick etch prior to melting the copper to form the bumps

Metal foils, such as copper foils may be used to form the bumps over the metallic pads on the substrate. The foils may be laminated to the bottom of the substrate with an adhesive that decomposes during the firing. The foils may be perforated or porous to better vent the decomposing adhesive. Areas of metal overlapping the metallic pads may be formed by etching. Upon melting, these areas draw back and ball up forming bumps on the metallic pads.

Alternatively the foil could be punched forming a pattern of islands joined by very narrow bands. The punched foil is positioned on the substrate with each punched island overlapping a metallic pad. When it is heated above the melting point of the foil, the narrow bands melt and act as cleavage points as the islands draw back to form bumps over and fuse to the metallic pads.

The height of the bumps is determined by the quantity of metal or alloy that is melted on

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each metallic pad. It would be obvious for one skilled in the art to select the volume of material over the metallic pad in order to obtain the desired bump height.

A package according to the invention is illustrated in Fig. 1. The package, shown in cross-section, has a base 110, a semiconductor device 120 connected by wire bonds 130 to the conductive pattern of the base, a frame 140, surrounding the device, which is closed by a cover 150. The conductive pattern includes vias connecting the top and bottom of the base. Melted metal bumps 160 formed on the bottom of the base are suitable for connecting the package to another assembly. The metal bumps of the interconnection package may be soldered to a printed wiring board, thus connecting the semiconductor device to the next level assembly.

A "flip-connection" package having melted metal bumps for connection to another assembly, is shown in Fig. 2. The metal bumps 260 are formed on the bottom of the ceramic base 210. The metal bumps are connected by the conductive pattern of the ceramic base and the flip-connections 230 to a semiconductor die 220. The semiconductor device is enclosed by a frame 240 and cover 250. Some methods for providing packages with flip connections are more fully described in United States Patents 5,627,406, 5,904,499 and the copending application entitled INTERCONNECTION METHODS, filed simultaneously with the current application, and which is incorporated herein by reference.

Fig. 3 illustrates a multichip module package with three electronic devices 320, 322 and 324 connected to the conductive pattern of a ceramic base 310. The ceramic base has melted metal bumps 360 on the bottom to serve as input/output interconnections for the module. A frame 340 mounted on the ceramic base, and a cover 350 is attached to the frame to enclose and protect the devices.

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Fig. 6 illustrates a connector interconnecting two side-by-side surfaces 614 and 615. The connector is an insulating substrate 610 with a grid array pattern 670. Metal bumps have been formed on the grid array by melting metal and fusing it to the grid array. The grid array pattern is interconnected by the conductive pattern (not shown) of the insulating substrate. The metal bumps are metallurgically bonded to the pads 690 on the conductive patterns (not shown) of the two side-by-side surfaces 614 and 615.

Fig. 7 shows another connector having an insulating substrate 710, with metal bumps 770 on both top and bottom surfaces. The metal bumps are connected by the conductive pattern of the insulating substrate. Two surfaces 714 and 715 are interconnected by being metallurgically bonded to the metal bumps of the connector. It would be obvious to those skilled in the art that the conductive pattern of the connector could be a simple through via pattern for direct interconnection of 714 and 715, or a more complex conductive pattern to interconnect any contact pad to any other desired contact pad.

# Example 1

Referring to Fig. 4, a 2 in. x 2 in. x 0.01 in. thick (50 mm x 50 mm x 0.25 mm) alumina substrate 400 was printed with a pattern simulating the connections of 256 chip scale packages. The chip scale package size was 0.125 in. x 0.125 in. (3.175 mm x 3.175 mm), and each simulated package had 20 pad connections 470. Fig. 5 shows an individual package with 20 pads 570. A tungsten paste, Tungsten Mix No. 3<sup>TM</sup> from Ceronics Inc., of New Jersey, was printed in 0.006 in. diameter(150 μm) pads on 0.020" (0.5 mm) centers. The paste pattern was fired in a hydrogen atmosphere at about 1350 °C forming metallic pads 0.006" (150 μm) in diameter.

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A copper paste was prepared by dispersing 80% by weight copper powder in 20% by weight ethyl cellulose/terpineol vehicle. The copper paste was printed in oversize pads, 0.018" (0.46 mm) on 0.020" centers, where each pad overlapped a tungsten pad. The copper paste was dried, fired in a hydrogen atmosphere at a low temperature to decompose the organic vehicle, and then fired at a temperature above the melting point of copper. In the firing, the temperature was ramped up over 40 minutes to 1100° C; held at 1100° C for 30 minutes, and ramped down over a period of 30 minutes.

In the firing process the copper pads pulled back onto and balled up on the tungsten pads forming uniformly high copper bumps suitable for joining the alumina substrate to another electronic package or higher level electronic assembly by soldering or other means.

#### Example 2

A 2" by 2" (50 mm x 50 mm) alumina plate was printed with a molybdenum/manganese (Mo/Mn) paste in a pattern of 5120 pads, 0.006" (150 μm) in diameter. The pads were in 256 groups of 20 pads each on 0.020" (0.5 mm) centers as in Example 1. The Mo/Mn paste on the alumina was fired forming metallic pads 0.006" in diameter. A copper paste was screen printed over the metallic pads in a pattern of circles 0.018" (0.46 mm) on the same 0.020" (0.5 mm) centers as the metallic pads. The copper paste on the alumina was dried and then temperature was ramped up over 30 minutes to 1100 °C and held at 1100°C for 35 minutes before slowly cooling down. The copper melted and the surface tension of the molten copper drew the copper back to form bumps 0.006" in diameter on the metallic pads.

The procedure was repeated with square, copper paste prints and long, narrow, rectangular, copper paste prints over the 0.006" diameter metallic pads. In all cases, after firing

the copper drew back and formed smooth convex bumps over the metallic pads.

Since the copper pattern overlapping one metallic pad is preferably spaced apart from the pattern overlapping a neighboring metallic pad, long, narrow prints are well suited for applications where the metallic pads are so tightly spaced that one couldn't supply a sufficient volume of material using a circular or square pattern.

It will be obvious to those skilled in the art that the melted metal bumps may be used to interconnect packages having a single layer or multilayer conductive patterns. Likewise the invention is applicable to packages containing more than one semiconductor chip, or a package containing multiple semiconductor circuits on a single die, wafer or section of a wafer.